



**we**  
**give you**  
**the**  
**world**

A red circle containing a white starburst or compass rose design, positioned to the right of the word "world".



# Why Companies Are Still Getting It Wrong When Preparing For Audits.

Presenter: Majella Furey

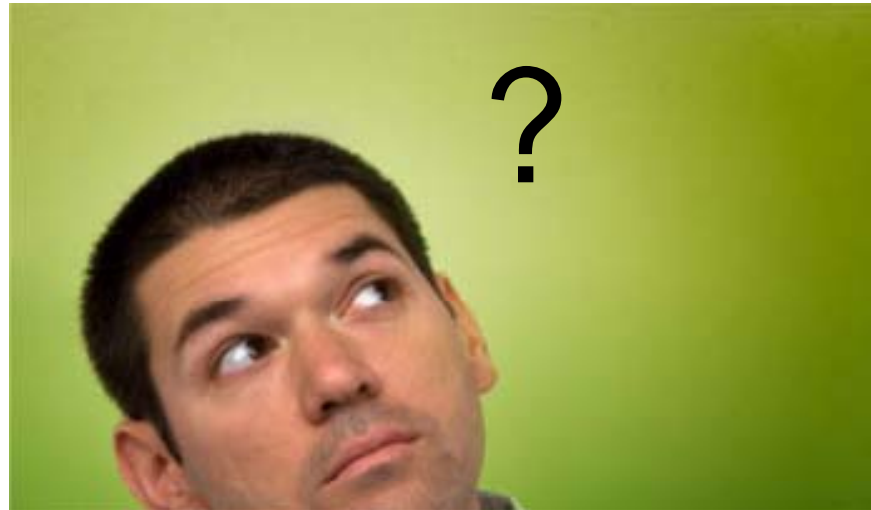
NCS International





# The Top 4 Reasons

- Not Being Familiar with the Standard(s) Being Audited to
- Internal Audits Not Effective
- Management Not Committed
- Records Not Available.



# Not Being Familiar With The Standard

- Must be familiar with every clause of each of the standards you are being audited against
- This may involve self teaching or training course
- Some standards have interpretation guides e.g SQF and BRC





# Internal Audits Not Effective

## What's Not An Internal Audit:

GMP audit of the facility

Have we got all these documents

## What Is An Internal Audit

Where the business audits its own procedures to determine if the business is actually doing what it says it is doing.





# Internal Audits Not Effective

## **When Should You Do An Internal Audit**

Approx 4-6 weeks before the external audit

## **Who Should Do An Internal Audit**

Someone trained in internal auditing

Someone independent of the system

## **Objective Evidence Required**

Internal auditor should record the objective sited

Tick and flick responses are not appropriate.





# Internal Audits Not Effective

Appropriate Internal Audit Questions & Responses

The business procedure for shelf life testing says:

*“Every product type shall be tested 1/year at the end of shelf life for standard plate count, e.coli and enterobacteriaceae and results must be within limits set on finished product specifications”*



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# Internal Audits Not Effective

## Appropriate Internal Audit Questions & Responses

Question	Evidence	Non Conformance
Q. Have all product categories been tested 1/year for Standard Plate Count, E.Coli & Enterobacteriaceae	Breakfast sausages tested Standard Plate Count, E.Coli & Enterobacteriaceae by T-Labs. Report # 1257 reviewed dated 10.6.09. All results within spec. No test results available for rissoles and mince.	Non conformance raised for no test results for rissoles and mince (Ref NC 137)





# Internal Audits Not Effective

If the results of the internal audit are wildly different to the results of the external audit, expect a non conformance for the internal audits not being effective.





# Lack Management Commitment.

Lack of financial resources

Lack of interest or respect for the audit process

Poor Communication

Outdated Quality Policy





# Records Not Available or Satisfactory

- Critical records not made available for the audit e.g CCP monitoring records, micro testing results, mock recall
- Critical records not completed e.g part of the record not completed
- Critical records not signed by the person doing the monitoring &/or the reviewer
- Questionable records e.g every day the temp of incoming good is always 2.7°C





# If You Want to Be Better Prepared For Your Next Audit...

1. Know the standard
2. Do an effective internal audit
3. Management Commitment
4. Make sure all critical records are available, completed, signed and believable.



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Thank you...



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